

In this essay, we consider finite subsets of some abelian group G . We call such sets *additive sets*. We define the sum of two sets $U, V \subset G$ to be

$$U + V = \{u + v \mid u \in U, v \in V\}.$$

Similarly, we define

$$U - V = \{u - v \mid u \in U, v \in V\}$$

and

$$kU - \ell V = \{u_1 + \cdots + u_k - v_1 - \cdots - v_\ell \mid u_i \in U, v_j \in V\}.$$

We are most interested in how large or small these sets can be. In this vein, we have the following definitions.

Definition. Let U be an additive set. Define the *doubling constant* of U to be

$$\sigma[U] = \frac{|U + U|}{|U|}.$$

Similarly, for additive sets A and B , we define

$$\sigma[U, V] = \frac{|U + V|}{|U|^{1/2} |V|^{1/2}}.$$

Definition. Let U and V be additive sets. Then the *Ruzsa distance* between U and V is

$$d(U, V) = \log \frac{|U - V|}{|U|^{1/2} |V|^{1/2}}.$$

On occasion, we may also use the notation

$$\delta[U] = \frac{|U - U|}{|U|} \quad \text{and} \quad \delta[U, V] = \frac{|U - V|}{|U|^{1/2} |V|^{1/2}}$$

where $\delta[U]$ is the *difference constant* of U .

The main goal of this note is to prove the following three inequalities — the so-called Ruzsa inequalities. Throughout we assume that U, V and W are additive sets in some ambient abelian group G :

- I. $d(U, W) \leq d(U, V) + d(V, W)$
- II. $d(U, -V) \leq 3d(U, V)$
- III. If $d(U, V), d(U, W), d(V, W) \leq \log K$, then $|U + V + W| \leq K^C |U|^{1/3} |V|^{1/3} |W|^{1/3}$ for some absolute constant $C \geq 1$.

1 Basic estimates

We begin with a warm-up. The Rusza distance d between two sets is not a metric. Inequality I shows that d satisfies the triangle inequality and the fact that $|U - V| = |V - U|$ shows that d is symmetric. However, $d(U, U)$ is rarely zero. Under what circumstances is $d(U, U) = 0$?

If U is a coset of a (finite) subgroup of G , say $U = a + H$ for some $H < G$, then $d(U, U) = 0$. Indeed, under this condition,

$$|U - U| = |(a + H) - (a + H)| = |\{h - h' \mid h \in H\}| = |H|,$$

whence $d(U, U) = 0$. Conversely, suppose $d(U, U) = 0$. Since $|(U - \{a\}) - (U - \{a\})| = |U - U|$ for any $a \in G$, we may assume without loss of generality that $0 \in U$. Therefore, $U \subset U - U$. Suppose U is not a subgroup of G . Then U is not closed under subtraction; i.e., there exists $u, v \in U$ such that $u - v \notin U$. Thus $U \subsetneq U - U$ so that $|U| < |U - U|$. Therefore, $d(U, U) \neq 0$. Thus we have established the following fact:

Fact. An additive subset U satisfies $d(U, U) = 0$ if and only if U is a coset of a subgroup of G .

There are some trivial bounds for σ and δ :

1. $1 \leq \sigma[U] \leq \frac{1}{2}(|U| + 1)$
2. $1 \leq \delta[U] \leq |U| - 1 + 1/|U|$.

In both cases, the lower bounds are achieved by cosets of subgroups (i.e., arithmetic progressions) while the upper bounds are achieved by, for example, geometric progressions in \mathbb{Z} . Sets satisfying $\delta[U] = |U| - 1 + 1/|U|$ are known as *Sidon sets*. Note that U is a Sidon set if and only if $u - v = u' - v'$ has no nontrivial solutions for $u, v, u', v' \in U$.

Although upper bounds in both 1 and 2 are achieved by geometric progressions, there are families of subsets of \mathbb{Z} which are asymptotically equal to the upper bound in 1 but not 2 and vice versa. In particular, Rusza proved¹ (using a probabilistic argument) that for all sufficiently large n , there exist sets A and B with $|A|, |B| = n$ satisfying

$$|A + A| \leq n^{2-c} \quad \text{but} \quad |A - A| \geq n^2 - n^{2-c}$$

and

$$|B - B| \leq n^{2-c} \quad \text{but} \quad |B + B| \geq \frac{1}{2}n^2 - n^{2-c}$$

for some absolute constants $c > 0$.*

Now let us begin proving inequalities I - III.

Theorem. (*triangle inequality*) Suppose U, V and W are additive sets. Then

$$d(U, W) \leq d(U, V) + d(V, W).$$

* In each expression c may denote a different absolute constant.

¹Rusza, I.Z. *Acta Math. Hung.* **59** (3-4) (1992), 439-447.

Proof. Combining the logs in the definition of d on the right hand side and canceling the factors of $|U|^{1/2}$ and $|W|^{1/2}$, the triangle inequality is equivalent to

$$|U - W| \leq \frac{|U - V||V - W|}{|V|}.$$

This inequality follows from the identity $u - w = (u - v) + (v - w)$ for $u \in U$, $v \in V$ and $w \in W$. Consider the map

$$\varphi : (U - V) \times (V - W) \rightarrow G \quad \text{given by} \quad \varphi(u - v, v' - w) = u - v + v' - w.$$

For each $u - w \in U - W$, $|\varphi^{-1}(u - w)| \geq |V|$ because $u - w$ has at least $|V|$ representatives in $(U - V) \times (V - W)$, viz. $(u - v, v - w)$ for each $v \in V$. This gives the desired inequality. \square

Theorem. Let U and V be additive sets. Then

$$d(U, -V) \leq 3d(U, V).$$

Proof. First, notice that the theorem is equivalent to

$$|U + V| \leq \frac{|U - V|}{|U||V|} \quad (*)$$

so we content ourselves to prove (*). For each $x \in G$, define

$$s(x) = |\{(u, v) \in U \times V \mid u + v = x\}| \quad \text{and} \quad r(x) = |\{(u, v) \in U \times V \mid u - v = x\}|.$$

We will prove (*) in two steps. First we will show that for some x , $s(x) \geq |U||V|/|U - V|$. Then we will demonstrate that for such an x , $s(x)|U + V| \leq |U - V|^2$, whence the claim follows.

By double counting,

$$\sum_{x \in G} s(x) = \sum_{x \in G} r(x) = |U||V|.$$

Further

$$\sum_{x \in G} s(x)^2 = \sum_{x \in G} r(x)^2$$

as both are equal to the number elements $(u, u', v, v') \in U^2 \times V^2$ with $u + v = u' + v'$.^{*} Now we apply the Cauchy-Schwarz inequality to $\sum r(x)^2$. Recall that the Cauchy-Schwarz inequality states that

$$\left(\sum_x a_x b_x \right)^2 \leq \left(\sum_x a_x^2 \right) \left(\sum_x b_x^2 \right).$$

Applying this with $a_x = r(x)$ and $b_x = 1$ for $x \in U - V$ and 0 otherwise, we obtain

$$\begin{aligned} |U|^2 |V|^2 &= \left(\sum r(x) \right)^2 \\ &\leq \left(\sum r(x)^2 \right) \left(\sum_{x \in U - V} 1 \right) \\ &= |U - V| \sum r(x)^2 \\ &= |U - V| \sum s(x)^2. \end{aligned}$$

* The number of quadruples (u, u', v, v') with $u + v = u' + v'$ is called the *additive energy* of U and V .

We can obtain the desired lower bound on the maximal $s(x)$ by

$$|U|^2 |V|^2 \leq |U - V| \sum s(x)^2 \leq |U - V| \left(\max_x s(x) \right) \sum s(x) = |U - V| |U| |V| \max_x s(x)$$

which gives the desired bound of

$$\max_x s(x) \geq \frac{|U| |V|}{|U - V|}.$$

Let $S = \{(u, v) \in U \times V \mid u + v = x\}$ where $|S| \geq |U| |V| / |U - V|$. We will show that

$$|S| |U + V| \leq |U - V|^2$$

by constructing an injective map $\psi : S \times (U + V) \rightarrow (U - V)^2$. To this end, for each $w \in U + V$, define $\alpha(w) \in U$ and $\beta(w) \in V$ with $\alpha(w) + \beta(w) = w$. Define ψ by

$$\psi(u, v, w) = (u - \beta(w), \alpha(w) - v) \quad (u, v) \in S, w \in U + V.$$

To see that ψ is injective, suppose $\psi(u, v, w) = \psi(u', v', w')$. Then we have

$$u - \beta(w) = u' - \beta(w'), \quad \alpha(w) - v = \alpha(w') - v', \quad \text{and} \quad u + v = u' + v'$$

where the last equation holds by the definition of S . Then

$$\begin{aligned} w &= \alpha(w) + \beta(w) \\ &= \alpha(w') - v' + v + \beta(w') + u - u' \\ &= \alpha(w') + \beta(w') \\ &= w'. \end{aligned}$$

Since $w = w'$, it immediately follows that $u = u'$ and $v = v'$ as well, so the map ψ is injective as claimed. This gives the second inequality in

$$\frac{|U| |V|}{|U - V|} |U + V| \leq |S| |U + V| \leq |U - V|^2.$$

Multiplying the first and last terms by $|U - V|$ and dividing by $|U|^{3/2} |V|^{3/2}$ gives the theorem. \square

2 Ruzsa calculus

We begin by introducing some new notation, referred to as *rough notation*. Throughout, $K \geq 2$ is some parameter that measures the “roughness” of an approximation. Given quantities X and Y we write $X \lesssim Y$ (read “ X is roughly less than Y ”) to mean that $X \leq K^C Y$ where, as usual, C is some absolute constant. If $X \lesssim Y$ and $Y \lesssim X$, we write $X \approx Y$ and say that X is “roughly equal” to Y .

Notation. If U and V are additive sets, then we write $U \sim V$ if

$$\frac{|U - V|}{|U|^{1/2} |V|^{1/2}} \approx 1, \quad \text{or equivalently} \quad |U - V| \approx |U|^{1/2} |V|^{1/2}.$$

Notice that we do not necessarily have $U \sim U$. In fact, by II, $U \sim U$ if and only if $\sigma[U] \approx 1$.

Proposition. (*Ruzsa calculus*) Let U, V and W be additive sets. Then

- (i) Suppose $U \sim V$. Then $U \sim -V$, $|U| \approx |V|$ and $\sigma[U], \sigma[V] \approx 1$.
- (ii) If $U \sim V$ and $V \sim W$ then $U \sim W$.
- (iii) If $U \sim V$, $\sigma[W] \approx 1$ and there exists x such that

$$|U \cap (x + W)| \approx |U| \approx |W|$$

then $U \sim V \sim W$.

- (iv) If $\sigma[U], \sigma[W] \approx 1$ and there exists x with $|U \cap (x + W)| \approx |U| \approx |W|$, then $U \sim W$.
- (v) If $U \sim V \sim W$, then $W \sim U + V$.

Proof. (i) Since $U \sim V$ we have

$$\frac{|U - V|}{|U|^{1/2} |V|^{1/2}} \leq K^C.$$

By II, we have

$$\frac{|U + V|}{|U|^{1/2} |V|^{1/2}} \leq \frac{|U - V|^3}{|U|^{3/2} |V|^{3/2}} \leq (K^C)^3 = K^{3C}$$

which proves the first equation.* Since

$$|U| \leq |U - V| \leq K^C |U|^{1/2} |V|^{1/2},$$

we have

$$|U|^{1/2} \leq K^C |V|^{1/2} \implies |U| \leq K^{2C} |V|$$

which $|U| \lesssim |V|$. Symmetrically, we have $|V| \lesssim |U|$ hence $|U| \approx |V|$. Finally, notice that the Ruzsa triangle inequality implies that

$$\frac{|U - U|}{|U|} \leq \frac{|U - V|}{|U|^{1/2} |V|^{1/2}} \cdot \frac{|V - U|}{|U|^{1/2} |V|^{1/2}} \leq K^{2C}$$

which gives the final expression.

- (ii) This also follows from the triangle inequality, for

$$\frac{|U - W|}{|U|^{1/2} |W|^{1/2}} \leq \frac{|U - V|}{|U|^{1/2} |V|^{1/2}} \cdot \frac{|V - W|}{|V|^{1/2} |W|^{1/2}} \leq K^C \cdot K^{C'} = K^{C+C'}.$$

* Since all quantities in these expressions are greater than 1, we trivially have that each quantity is $\lesssim 1$, with $C = 0$.

- (iii) Without loss of generality, we may assume that $x = 0$ by replacing W with $x + W$, so we have $|U \cap W| \approx |U| \approx |W|$. Since $U \cap W \subset U, W$ Ruzsa's triangle inequality implies that

$$\frac{|U - W|}{|U|^{1/2} |W|^{1/2}} \leq \frac{|U - U \cap W|}{|U|^{1/2} |U \cap W|^{1/2}} \cdot \frac{|U \cap W - W|}{|U \cap W|^{1/2} |W|^{1/2}}$$

hence

$$|U \cap W| |U - W| \leq |(U \cap W) - U| |(U \cap W) - W| \leq |U - U| |W - W|.$$

By (i), $U \sim U$ implies that $|U - U| \approx |U|$. Similarly, $\sigma[W] \approx 1$ implies that $W \sim -W$, hence $W \sim W$ so we also have $|W - W| \sim |W|$. Since $|U \cap W| \approx |U| \approx |W|$ by hypothesis, we have

$$\begin{aligned} |U \cap W| |U - W| \approx |U| |W| &\implies |U - W| \approx |U|, |W| \\ &\implies |U - W| \approx |U|, |W| \\ &\implies \frac{|U - W|}{|U|^{1/2} |W|^{1/2}} \approx 1 \\ &\implies U \sim W. \end{aligned}$$

- (iv) This is just a special case of (iii).
(v) The idea is to establish the following claim.

Claim. There is a set S with $S \sim U + V$.

Granting the claim for the moment, we can prove (v). By (i), we have $\sigma[U + V] \approx 1$. Further, $U \sim W$ implies that

$$\begin{aligned} \frac{|U - W|^2}{|U| |W|} \approx 1 &\implies |U - W|^2 \approx |U| |W| \\ &\implies |U - W|^2 \approx |U|^2, |W|^2 && \text{(by (i))} \\ &\implies |U - W| \approx |U|, |W|. \end{aligned}$$

Write $r(x)$ for the number of pairs $(u, w) \in U \times W$ with $u - w = x$, and note that $r(x) = |U \cap (x + W)|$. Since

$$\sum_x r(x) = |U| |W| \approx |U| |U - W|,$$

there exists x with $r(x) \approx |U|$. Indeed, the sum on the left has $|U - W|$ nonzero elements, so the average element in the sum is $\approx |U|$. By replacing x with $x' = x + v$ for arbitrary $v \in V$, we get

$$|U| \approx |(U + V) \cap (x' + W)| \leq |U + V| \approx |U|.$$

Since $\sigma[W], \sigma[U + V] \approx 1$, part (iv) implies that

$$|U + V| \approx |W| \approx |(U + V) \cap (x' + W)|$$

hence

$$U + V \sim W$$

as desired.

Proof of claim. Let

$$L = \frac{|U + V|}{|U|^{1/2} |V|^{1/2}}.$$

By II, we have $L \leq K^C$. The idea of the proof is to take S to be the set of “popular” sums in $U + V$. Define

$$s(x) = |\{(u, v) \in U \times V \mid u + v = x\}|$$

and take

$$S = \left\{ x \in U + V \mid s(x) \geq \frac{1}{2L} |U|^{1/2} |V|^{1/2} \right\}.$$

By the same application of the Cauchy-Schwarz inequality appearing in the proof of II, we have

$$\sum_x s(x)^2 \geq \frac{1}{L} |U|^{3/2} |V|^{3/2} = \frac{|U|^2 |V|^2}{|U + V|}.$$

The contribution of $x \notin S$ in this sum is at most

$$\sup_{x \notin S} s(x) \sum_x s(x) \leq \frac{1}{2L} |U|^{1/2} |V|^{1/2} |U| |V|.$$

Hence

$$\sum_{x \in S} s(x)^2 \geq \frac{1}{2L} |U|^{3/2} |V|^{3/2}.$$

Since $s(x) \leq |U|, |V|$ for all x , it follows that

$$|S| \geq \frac{1}{2L} |U|^{1/2} |V|^{1/2} = \frac{1}{2} \frac{|U| |V|}{|U + V|} \approx |U|. \quad (*)$$

Thus we have established that S is “large.”

Suppose $u \in U, v \in V$ and $s \in S$. Then there exist at least $\frac{1}{2L} |U|^{1/2} |V|^{1/2}$ distinct pairs $(u', v') \in U \times V$ with $u' + v' = s$. Each pair gives distinct representations of $u + v + s = (u + v') + (u' + v)$ in $U + V + S$ as a sum of elements in $U + V$. Therefore,

$$|U + V + S| \cdot \frac{1}{2L} |U|^{1/2} |V|^{1/2} \leq |U + V|^2 \implies |U + V + S| \leq \frac{|U + V|^3}{|U| |V|} \approx |U| \approx |V|$$

because $U \sim V$ implies that $|U + V| \approx |U| \approx |V|$. The inequalities $|U|, |V| \leq |U + V + S|$ imply that $|U + V + S| \approx |U| \approx |V|$. Finally, combining (*) with the inequality $|S| \leq |U + V + S|$ gives

$$|U + V + S| \approx |U + V| \approx |S|.$$

The claim follows from

$$|U + V + S|^2 \approx |U + V| |S|.$$

□

Corollary. (*Ruzsa triple sumset inequality*) Suppose U, V and W are additive sets with $d(U, V), d(U, W), d(V, W) \leq K$. Then

$$|U + V + W| \leq K^C |U|^{1/3} |V|^{1/3} |W|^{1/3}$$

Proof. The hypothesis of the corollary implies that $U \sim V \sim W$. Hence by (v), $W \sim U + V$ and symmetrically $V \sim U + W$ and $U \sim V + W$. Therefore,

$$|U + V + W| \approx |U + V|^{1/2} |W|^{1/2} \approx |U|^{1/4} |V|^{1/4} |W|^{1/2}.$$

We also obtain analogous results for the different permutations of U, V and W . Multiplying these expressions together gives

$$|U + V + W|^3 \approx |U| |V| |W|$$

whence the corollary follows. □

Corollary. (*iterated sumset inequality*) Suppose $\sigma[U] \leq K$. Then for any non-negative integers k, ℓ not both zero, there exists a constant $\gamma(k, \ell)$ such that

$$|kA - \ell A| \ll K^{\gamma(k, \ell)} |A|$$

Remark. Under the hypotheses of the final corollary, the *Plünnecke-Ruzsa inequalities* give a bound of

$$|kA - \ell A| \leq K^{k+\ell} |A|$$

but deriving such a bound would take us too far afield.