



# Lecture 15: Data Compression III

## COMP526: Efficient Algorithms

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# Announcements

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1. Programming Assignment 2 posted
  - Due 29 November
2. Quiz 6 due Friday
  - Covers Lecture 13 material
  - 1 Question, Short Answer
  - Usual rules apply
3. Attendance Code:

# Meeting Goals

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1. Give a recap of Lempel-Ziv-Welch (LZW) encoding.
2. Describe LZW decoding procedure
3. Introduce text transformations (non-compressing):
  - Move-to-front (MTF) transform
  - Burrows-Wheeler transform

# Lempel-Ziv- Welch Encoding

# From Last Time

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Lempel-Ziv-Welch encoding idea:

- Fixed length codewords, size  $k$ 
  - $2^k$  possible codewords
- Each codeword represents a *string* of text
  - initial codewords correspond to source text alphabet  $\Sigma_S$  (strings of length 1)
- Store a dictionary  $D$  that maps strings to codewords
- Encoding scheme:
  - scan source text  $S$  sequentially
  - if we see a string  $xc$  where string  $x$  is in dictionary but  $xc$  is not
    - append  $D[x]$  to encoded string  $C$
    - add  $xc$  to  $D$

# Example

---

Consider  $S = N A N A S B A N A N A S$

$C =$

| code | string |
|------|--------|
| 0000 | A      |
| 0001 | B      |
| 0010 | N      |
| 0011 | S      |
| 0100 |        |
| 0101 |        |
| 0110 |        |
| 0111 |        |
| 1000 |        |
| 1001 |        |
| 1010 |        |
| 1011 |        |

# Example

---

Consider  $S = \text{N A N A S B A N A N A S}$

$C = 0010$

| code | string |
|------|--------|
| 0000 | A      |
| 0001 | B      |
| 0010 | N      |
| 0011 | S      |
| 0100 | NA     |
| 0101 |        |
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| 0111 |        |
| 1000 |        |
| 1001 |        |
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| 1011 |        |

# Example

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Consider  $S = N \text{ A N A S B A N A N A S}$

$C = 0010 \text{ 0000}$

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|------|--------|
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| 0010 | N      |
| 0011 | S      |
| 0100 | NA     |
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| 0110 |        |
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# Example

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| 0110 | NAS    |
| 0111 |        |
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$C = 0010 \ 0000 \ 0100$

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| 0111 | SB     |
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$C = 0010\ 0000\ 0100\ 0011$

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$C = 0010\ 0000\ 0100\ 0011\ 0001$

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| 1000 | BA     |
| 1001 | ANA    |
| 1010 |        |
| 1011 |        |

$C = 0010\ 0000\ 0100\ 0011\ 0001\ 0101$

# Example

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| 0110 | NAS    |
| 0111 | SB     |
| 1000 | BA     |
| 1001 | ANA    |
| 1010 | ANAS   |
| 1011 |        |

$C = 0010\ 0000\ 0100\ 0011\ 0001\ 0101\ 1001$

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$C = 0010\ 0000\ 0100\ 0011\ 0001\ 0101\ 1001\ 0011$

# LZW in Pseudocode

---

```
1: procedure LZWENCODE( $S[0..n]$ )
2:    $x \leftarrow \varepsilon$ 
3:    $C \leftarrow \varepsilon$ 
4:    $D \leftarrow$  all  $c \in \Sigma_S$ 
5:    $k \leftarrow |\Sigma_S|$ 
6:   for  $i = 0, 1, \dots, n - 1$  do
7:      $c \leftarrow S[i]$ 
8:     if  $D.CONTAINSKEY(xc)$  then
9:        $x \leftarrow xc$ 
10:    else
11:       $C \leftarrow CD.GET(x)$ 
12:       $D.PUT(xc, k)$ 
13:       $k \leftarrow k + 1, x \leftarrow c$ 
14:    end if
15:  end for
16:   $C \leftarrow CD.GET(x)$ 
17: end procedure
```

- ▷ previous word, initially empty
- ▷ output, initially empty
- ▷ dictionary of codewords
- ▷ next free codeword
  
- ▷ append codeword for  $x$

# Decoding LZW

---

**Encoding**  $S$  according to LZW is reasonably simple...

...but how do we **decode**  $C$ ?



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- All codewords have length  $k$

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  - technically, this depends on the representation of  $D$
  - representing  $D$  as a **trie** data structure makes this efficient

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  - technically, this depends on the representation of  $D$
  - representing  $D$  as a **trie** data structure makes this efficient

**Question.** How efficient is it to store  $D$ ?

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  - technically, this depends on the representation of  $D$
  - representing  $D$  as a **trie** data structure makes this efficient

**Question.** How efficient is it to store  $D$ ?

## PollEverywhere Question

How many phrases (words) will LZW create on input  $S = a^n$  (a run of  $n$  as)?

1.  $\sim n$
2.  $\sim n/2$
3.  $\Theta(n/\log n)$
4.  $\Theta(\sqrt{n})$
5.  $\Theta(\log n)$
6.  $\Theta(1)$



[polllev.com/comp526](https://polllev.com/comp526)

# Decoding LZW

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**Question.** How efficient is it to store  $D$ ?

**Important question.** Given  $D$  could be larger than  $S$  for very predictable strings, can we avoid storing  $D$  altogether?

# Decoding LZW

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**Question.** How efficient is it to store  $D$ ?

**Important question.** Given  $D$  could be larger than  $S$  for very predictable strings, can we avoid storing  $D$  altogether?

- **Try:** given  $C$  and the start of  $D$  (containing only  $\Sigma$ ), reconstruct  $D$  as we decode

# Decoding LZW Example

---

C = 0010 0000 0100 0011 0001 0101 1001 0011

S =

| code | string |
|------|--------|
| 0000 | A      |
| 0001 | B      |
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| 0011 | S      |
| 0100 |        |
| 0101 |        |
| 0110 |        |
| 0111 |        |
| 1000 |        |
| 1001 |        |
| 1010 |        |
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# Decoding LZW Pseudocode

---

## This always works!

```
1: procedure LZWDECOMPRESS( $C, \Sigma$ )
2:    $D \leftarrow$  dictionary, initialized with codes for  $c \in \Sigma_S$            ▷ stored as array
3:    $k \leftarrow |\Sigma_S|, q \leftarrow C[0]$                                ▷ first “new” codeword; first codeword
4:    $y \leftarrow D[q]$                                                    ▷ the first phrase (single character)
5:    $S \leftarrow y$                                                        ▷ output the first phrase
6:   for  $j = 1, 2, \dots, |C| - 1$  do
7:      $x \leftarrow y$                                                      ▷ remember decoded phrase
8:      $q \leftarrow C[j]$                                                  ▷ read next codeword
9:     if  $q = k$  then
10:       $y \leftarrow xx[0]$                                                ▷ bootstrap case
11:    else
12:       $y \leftarrow D[q]$ 
13:    end if
14:     $S \leftarrow Sy$                                                      ▷ append decoded phrase
15:     $D[k] \leftarrow xy[0]$                                              ▷ store new phrase
16:     $k \leftarrow k + 1$ 
17:  end for
18:  return  $S$ 
19: end procedure
```

# LZW Discussion

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## Some Details.

- Implementing the dictionary
  - use a trie data structure (more later...)
- Coded alphabet  $\Sigma_C = [0..2^k)$ , others are possible (e.g., Huffman)
- What happens when dictionary is full?
  - start using longer codewords?
  - flush dictionary and start from scratch?
- Encoding and decoding both run in linear time! (assuming  $|\Sigma_S| = O(1)$ )

## Appraisal.

- Fast encoding and decoding
- Works in **streaming model**
- Significant compression for many types of data (45% for English text)
- Only captures local repetitions
  - e.g., not maximally helpful for  $S = TT$

# Compression Summary

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| <b>Huffman codes</b>                 | <b>Run-length encoding</b>            | <b>Lempel-Ziv-Welch</b>            |
|--------------------------------------|---------------------------------------|------------------------------------|
| fixed-to-variable                    | variable-to-variable                  | variable-to-fixed                  |
| 2-pass                               | 1-pass                                | 1-pass                             |
| must send dictionary                 | can be worse than ASCII               | can be worse than ASCII            |
| 60% compression<br>on English text   | bad on text                           | 45% compression<br>on English text |
| optimal binary<br>character encoding | good on long runs<br>(e.g., pictures) | good on English text               |
| rarely used directly                 | rarely used directly                  | frequently used                    |
| part of pkzip, JPEG, MP3             | fax machines, old picture-<br>formats | GIF, part of PDF, Unix<br>compress |

---

# Text Transforms

# Why Settle for What You're Given?

---

**So far** we've used the following pipeline:

source text → encoded text

a single algorithm (Huffman, RLE, LZW) to encode the text.

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a single algorithm (Huffman, RLE, LZW) to encode the text.

## **Inefficiencies:**

- Huffman, RLE, and LZW are **limited** in patterns they exploit
  - Huffman: character encoding, changing probabilities
  - RLE: only compresses long runs
  - LZW: only small local repetitions identified

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  - Huffman: character encoding, changing probabilities
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## Idea: Text Transformations

- *Reversible* function of text
- does not by itself compress text
- makes text more compressible to algorithms above

**Pipeline:** source → transformed text → compressed transformed text

# **Move to Front Transform**



# Move to Front Heuristic

---

**Simple Observation.** In many texts, a recently used character is likely to be reused

- not just globally frequent characters (i.e., Huffman compressible)
- have local runs with repetition

**Idea.** Start with indexed alphabet  $\Sigma$  (e.g., linked list)

- Whenever a character  $c$  is read, move that character to the front of the list

# Self Adjusting Lists

---

## Lists

- elements have sequential indexes (like arrays)
- elements can be removed/inserted
  - other elements' indices are shifted

## Self-adjustment

- when element  $c$  is accessed, move  $c$  to the front of list

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## PollEverywhere Question

Suppose we start with a list containing XYZABC and the next access is to the character A. What is the state of the list after the access?



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**Puzzle.** How to implement lists *efficiently*?

# Move to Front Simple Example

---

|       |   |   |   |   |   |   |   |   |  |   |   |   |
|-------|---|---|---|---|---|---|---|---|--|---|---|---|
| 0     | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |  | 0 | 1 | 2 |
| A     | N | A | B | A | N | A | N | A |  | A | B | N |
| <hr/> |   |   |   |   |   |   |   |   |  | A | B | N |
| 0     |   |   |   |   |   |   |   |   |  |   |   |   |

# Move to Front Simple Example

---

|   |   |   |   |   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|---|---|---|---|
| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 0 | 1 | 2 |
| A | N | A | B | A | N | A | N | A | A | B | N |
| 0 |   |   |   |   |   |   |   |   | A | B | N |
|   | 2 |   |   |   |   |   |   |   | N | A | B |
|   |   | 1 |   |   |   |   |   |   | A | N | B |
|   |   |   | 2 |   |   |   |   |   | B | A | N |
|   |   |   |   | 1 |   |   |   |   | A | B | N |
|   |   |   |   |   | 2 |   |   |   | N | A | B |
|   |   |   |   |   |   | 1 |   |   | A | N | B |
|   |   |   |   |   |   |   | 1 |   | B | A | N |
|   |   |   |   |   |   |   |   | 1 | A | B | N |
| 0 | 2 | 1 | 2 | 1 | 2 | 1 | 1 | 1 |   |   |   |

# Move to Front Simple Example

|   |   |   |   |   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|---|---|---|---|
| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 0 | 1 | 2 |
| A | N | A | B | A | N | A | N | A | A | B | N |
| 0 |   |   |   |   |   |   |   |   | A | B | N |
|   | 2 |   |   |   |   |   |   |   | N | A | B |
|   |   | 1 |   |   |   |   |   |   | A | N | B |
|   |   |   | 2 |   |   |   |   |   | B | A | N |
|   |   |   |   | 1 |   |   |   |   | A | B | N |
|   |   |   |   |   | 2 |   |   |   | N | A | B |
|   |   |   |   |   |   | 1 |   |   | A | N | B |
|   |   |   |   |   |   |   | 1 |   | B | A | N |
|   |   |   |   |   |   |   |   | 1 | A | B | N |
| 0 | 2 | 1 | 2 | 1 | 2 | 1 | 1 | 1 |   |   |   |

## Observations.

- This process is reasonably efficient
  - store alphabet in a linked list
- This process is **reversible**
  - How?

# MTF Heuristic

---

|   |   |   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|---|---|
| S | A | N | A | B | A | N | A | N | A |
| C | 0 | 2 | 1 | 2 | 1 | 2 | 1 | 1 | 1 |

## Questions.

- What does a *run* in *S* correspond to in *C*?



# MTF Heuristic

---

|   |   |   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|---|---|
| S | A | N | A | B | A | N | A | N | A |
| C | 0 | 2 | 1 | 2 | 1 | 2 | 1 | 1 | 1 |

## Questions.

- What does a *run* in  $S$  correspond to in  $C$ ?
  - run in  $S$  of length  $k$  gives a run of 0s in  $C$  of length  $k - 1$
  
- What does a run in  $C$  correspond to in  $S$ ?

# MTF Code

---

```
1: procedure MTFENCODE( $S[0..n]$ )
2:    $L \leftarrow$  list containing  $\Sigma_S$  (sorted)
3:    $C \leftarrow \varepsilon$ 
4:   for  $i = 0, 1, \dots, n-1$  do
5:      $c \leftarrow S[i]$ 
6:      $p \leftarrow$  position of  $c$  in  $L$ 
7:      $C \leftarrow Cp$ 
8:     Move  $c$  to front of  $L$ 
9:   end for
10:  return  $C$ 
11: end procedure
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```
1: procedure MTFDECODE( $C[0..m]$ )
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3:    $S \leftarrow \varepsilon$ 
4:   for  $j = 0, 1, \dots, m - 1$  do
5:      $p \leftarrow S[j]$ 
6:      $c \leftarrow$  char at position  $p$  in  $L$ 
7:      $S \leftarrow Sc$ 
8:     Move  $c$  to front of  $L$ 
9:   end for
10:  return  $S$ 
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10:  return  $S$ 
11: end procedure
```

**Key observation.** Both encode and decode procedure perform same sequence of accesses to  $L$

$\Rightarrow$  the decoded letter is always the same as encoded (induction)

# MTF Discussion

---

- MTF does not compress texts (assuming we use fixed-length codewords)
  - MTF is used as part of a longer pipeline
  - For many texts smaller codeword values are more likely after MTF

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  - Huffman is more effective after MTF transform applied

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  - MTF is used as part of a longer pipeline
  - For many texts smaller codeword values are more likely after MTF
- Intuitive effect: MTF converts patterns with low *local entropy* to texts with small *global entropy*
  - Huffman is more effective after MTF transform applied
- Still, many natural texts do not have low local entropy
  - ... but we can try to transform texts so that they *do* have low local entropy!

# Burrows- Wheeler Transform



# Burrows-Wheeler Transform

---

## A Sophisticated Transform.

- Coded text has same characters as source, but in a different order
- Coded text is typically more compressible (local character frequencies)

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  - not streaming like LZW, or “two pass” like Huffman

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## An Effective Pipeline: BWT $\rightarrow$ MTF $\rightarrow$ RLE $\rightarrow$ Huffman

- Used by bzip2 compression program:

```
5458199 21 Nov 12:06 shakespeare-original.txt
1479261 21 Nov 12:04 shakespeare.txt.bz2
2024091 21 Nov 12:08 shakespeare.txt.gz
2022556 21 Nov 12:06 shakespeare.txt.zip
```

# Cyclic Shifts

---

**Definition.** A **cyclic shift** of a string  $S$  is a re-indexing of  $S$  by some fixed offset with wraparound

- add terminating character \$ to show original end of  $S$
- \$ is alphabetically before all other characters



# Burrows Wheeler Transform

---

## A Simple Idea.

1. Form all cyclic shifts of S

```
alf_eats_alfalfa$  
lf_eats_alfalfa$a  
f_eats_alfalfa$al  
_eats_alfalfa$alf  
eats_alfalfa$alf_  
ats_alfalfa$alf_e  
ts_alfalfa$alf_ea  
s_alfalfa$alf_eat  
_alfalfa$alf_eats  
alfalfa$alf_eats_  
lfalfa$alf_eats_a  
falfa$alf_eats_alf  
alfa$alf_eats_alf  
lfa$alf_eats_alf  
fa$alf_eats_alfal  
a$alf_eats_alfalf  
$alf_eats_alfalfa
```

# Burrows Wheeler Transform

---

## A Simple Idea.

1. Form all cyclic shifts of S
2. Sort the shifts alphabetically

```
alf_eats_alfalfa$  
lf_eats_alfalfa$a  
f_eats_alfalfa$a  
_eats_alfalfa$a  
eats_alfalfa$a  
ats_alfalfa$a  
ts_alfalfa$a  
s_alfalfa$a  
_alfalfa$a  
alfalfa$a  
lfa$a  
fa$a  
a$a  
$alf_eats_alfalfa
```

sort

```
$alf_eats_alfalfa  
_alfalfa$a  
_eats_alfalfa$a  
a$a  
alf_eats_alfalfa$a  
alfa$a  
alfalfa$a  
ats_alfalfa$a  
eats_alfalfa$a  
f_eats_alfalfa$a  
fa$a  
falfa$a  
lf_eats_alfalfa$a  
lfa$a  
lfa$a  
lfa$a  
s_alfalfa$a  
ts_alfalfa$a
```

# Burrows Wheeler Transform

## A Simple Idea.

1. Form all cyclic shifts of S
2. Sort the shifts alphabetically
3. Return the last **column** of the table

BWT



alf\_eats\_alfalfa\$  
lf\_eats\_alfalfa\$a  
f\_eats\_alfalfa\$a  
\_eats\_alfalfa\$a  
eats\_alfalfa\$a  
ats\_alfalfa\$a  
ts\_alfalfa\$a  
s\_alfalfa\$a  
\_alfalfa\$a  
alfalfa\$a  
lfa\$a  
fa\$a  
a\$a  
\$a



\$alf\_eats\_alfalf\_a  
\_alfalfa\$aalf\_eats\_s  
\_eats\_alfalfa\$aalf  
a\$aalf\_eats\_alfalf  
alf\_eats\_alfalfa\$a  
alf\$aalf\_eats\_alf  
alfalfa\$aalf\_eats\_  
ats\_alfalfa\$aalf\_e  
eats\_alfalfa\$aalf\_  
f\_eats\_alfalfa\$aalf  
fa\$aalf\_eats\_alfalf  
falfa\$aalf\_eats\_alf  
lf\_eats\_alfalfa\$aalf  
lfa\$aalf\_eats\_alfalf  
lfa\$aalf\_eats\_alfalf  
lfa\$aalf\_eats\_alfalf  
s\_alfalfa\$aalf\_eats  
ts\_alfalfa\$aalf\_ea



# Features of BWT

## BWT:

1. Form all cyclic shifts of  $S$
2. Sort the shifts alphabetically
3. Return the last **column** of the table

$S = \text{alf\_eats\_alfalfa\$}$

$B = \text{asff\$f\_e\_lllaata}$

## Remarkably:

- This procedure can be computed in  $O(n)$  time
  - “naive” algorithm/analysis is  $O(n^2 \log n)$
- This procedure is reverseable!
  - we will see this soon

```
$alf_eats_alfalfa
_alfalfa$alf_eats
_eats_alfalfa$alf
a$alf_eats_alfalf
alf_eats_alfalfa$
alfalfa$alf_eats_alf
alfalfa$alf_eats_e
eats_alfalfa$alf_e
f_eats_alfalfa$alf
fa$alf_eats_alfalf
falffa$alf_eats_alf
lf_eats_alfalfa$a
lfa$alf_eats_alfalf
lfa$alf_eats_alfalf
s_alfalfa$alf_eats
ts_alfalfa$alf_ea
```

# What does BWT do?

---

|                    | $r$ |                    | $\downarrow L[r]$ |    |
|--------------------|-----|--------------------|-------------------|----|
| alf_eats_alfalfa\$ | 0   | \$alf_eats_alfalfa | a                 | 16 |
| lf_eats_alfalfa\$a | 1   | _alfalfa\$alf_eats | s                 | 8  |
| f_eats_alfalfa\$al | 2   | _eats_alfalfa\$alf | f                 | 3  |
| _eats_alfalfa\$alf | 3   | a\$alf_eats_alfalf | f                 | 15 |
| eats_alfalfa\$alf_ | 4   | alf_eats_alfalfa\$ |                   | 0  |
| ats_alfalfa\$alf_e | 5   | alfa\$alf_eats_alf | f                 | 12 |
| ts_alfalfa\$alf_ea | 6   | alfalfa\$alf_eats_ |                   | 9  |
| s_alfalfa\$alf_eat | 7   | ats_alfalfa\$alf_e | e                 | 5  |
| _alfalfa\$alf_eats | 8   | eats_alfalfa\$alf_ |                   | 4  |
| alfalfa\$alf_eats_ | 9   | f_eats_alfalfa\$al |                   | 2  |
| lfalfa\$alf_eats_a | 10  | fa\$alf_eats_alfal |                   | 14 |
| falfa\$alf_eats_al | 11  | falfa\$alf_eats_al |                   | 11 |
| alfa\$alf_eats_alf | 12  | lf_eats_alfalfa\$a |                   | 1  |
| lfa\$alf_eats_alfa | 13  | lfa\$alf_eats_alfa | a                 | 13 |
| fa\$alf_eats_alfal | 14  | lfalfa\$alf_eats_a |                   | 10 |
| a\$alf_eats_alfalf | 15  | s_alfalfa\$alf_eat |                   | 7  |
| \$alf_eats_alfalfa | 16  | ts_alfalfa\$alf_ea |                   | 6  |

**Observation 1.** BWT contains the same characters as S

- characters are reordered

# What does BWT do?

|                    | $r$ |                    | $\downarrow L[r]$ |
|--------------------|-----|--------------------|-------------------|
| alf_eats_alfalfa\$ | 0   | \$alf_eats_alfalfa | a 16              |
| lf_eats_alfalfa\$a | 1   | _alfalfa\$alf_eats | s 8               |
| f_eats_alfalfa\$al | 2   | _eats_alfalfa\$alf | f 3               |
| _eats_alfalfa\$alf | 3   | a\$alf_eats_alfalf | f 15              |
| eats_alfalfa\$alf_ | 4   | alf_eats_alfalfa\$ | 0                 |
| ats_alfalfa\$alf_e | 5   | alfa\$alf_eats_alf | f 12              |
| ts_alfalfa\$alf_ea | 6   | alfalfa\$alf_eats_ | 9                 |
| s_alfalfa\$alf_eat | 7   | ats_alfalfa\$alf_e | 5                 |
| _alfalfa\$alf_eats | 8   | eats_alfalfa\$alf_ | 4                 |
| alfalfa\$alf_eats_ | 9   | f_eats_alfalfa\$al | 2                 |
| lfalfa\$alf_eats_a | 10  | fa\$alf_eats_alfal | 14                |
| falfa\$alf_eats_al | 11  | falfa\$alf_eats_al | 11                |
| alfa\$alf_eats_alf | 12  | lf_eats_alfalfa\$a | 1                 |
| lfa\$alf_eats_alfa | 13  | lfa\$alf_eats_alfa | 13                |
| fa\$alf_eats_alfal | 14  | lfalfa\$alf_eats_a | 10                |
| a\$alf_eats_alfalf | 15  | s_alfalfa\$alf_eat | 7                 |
| \$alf_eats_alfalfa | 16  | ts_alfalfa\$alf_ea | 6                 |

**Observation 2.** BWT groups characters by what follows

- repeated substrings give rise to runs in  $B$
- a always followed by lf  $\implies B$  contains a run of as

# What does BWT do?

---

|                    | $r$ |                       | $\downarrow L[r]$ |
|--------------------|-----|-----------------------|-------------------|
| alf_eats_alfalfa\$ | 0   | \$alf_eats_alfalfa    | a 16              |
| lf_eats_alfalfa\$a | 1   | _alfalfa\$alf_eats    | s 8               |
| f_eats_alfalfa\$al | 2   | _eats_alfalfa\$alf    | f 3               |
| _eats_alfalfa\$alf | 3   | a\$alf_eats_alfalf    | f 15              |
| eats_alfalfa\$alf_ | 4   | alf_eats_alfalfa\$    | 0                 |
| ats_alfalfa\$alf_e | 5   | alfalfa\$alf_eats_alf | f 12              |
| ts_alfalfa\$alf_ea | 6   | alfalfa\$alf_eats_    | 9                 |
| s_alfalfa\$alf_eat | 7   | ats_alfalfa\$alf_e    | 5                 |
| _alfalfa\$alf_eats | 8   | eats_alfalfa\$alf_    | 4                 |
| alfalfa\$alf_eats_ | 9   | f_eats_alfalfa\$al    | 2                 |
| lfalfa\$alf_eats_a | 10  | fa\$alf_eats_alfal    | 14                |
| falfa\$alf_eats_al | 11  | falfa\$alf_eats_al    | 11                |
| alfa\$alf_eats_alf | 12  | lf_eats_alfalfa\$a    | 1                 |
| lfa\$alf_eats_alfa | 13  | lfa\$alf_eats_alfa    | 13                |
| fa\$alf_eats_alfal | 14  | lfalfa\$alf_eats_a    | 10                |
| a\$alf_eats_alfalf | 15  | s_alfalfa\$alf_eat    | 7                 |
| \$alf_eats_alfalfa | 16  | ts_alfalfa\$alf_ea    | 6                 |

**Observation 3.** BWT outputs are typically amenable to compression after applying MTF



# Inverting BWT

---

**Observation.** Compression means nothing unless we can invert the procedure!

- What if we just sorted the characters of  $S$  directly and compressed the sorted string?

# Inverting BWT

---

**Observation.** Compression means nothing unless we can invert the procedure!

## A Magic Trick.

1. Create an array  $D$  of pairs  $(B[r], r)$
2. Sort  $D$  *stably* with respect to first entry
3. Interpret  $D$  as linked list (follow links!)

# Inverting BWT

---

**Observation.** Compression means nothing unless we can invert the procedure!

## A Magic Trick.

1. Create an array  $D$  of pairs  $(B[r], r)$
2. Sort  $D$  *stably* with respect to first entry
3. Interpret  $D$  as linked list (follow links!)

- 1:  $(a, 1)$
- 2:  $(r, 2)$
- 3:  $(d, 3)$
- 4:  $(\$, 4)$
- 5:  $(r, 5)$
- 6:  $(c, 6)$
- 7:  $(a, 7)$
- 8:  $(a, 8)$
- 9:  $(a, 9)$
- 10:  $(a, 10)$
- 11:  $(b, 11)$
- 12:  $(b, 12)$

## Example.

$B = \text{ard}\$\text{rcaaaabb}$



# Inverting BWT

---

**Observation.** Compression means nothing unless we can invert the procedure!

## A Magic Trick.

1. Create an array  $D$  of pairs  $(B[r], r)$
2. Sort  $D$  *stably* with respect to first entry
3. Interpret  $D$  as linked list (follow links!)

|               |              |
|---------------|--------------|
| 1: $(a, 1)$   | 1: $(\$, 4)$ |
| 2: $(r, 2)$   | 2: $(a, 1)$  |
| 3: $(d, 3)$   | 3: $(a, 7)$  |
| 4: $(\$, 4)$  | 4: $(a, 8)$  |
| 5: $(r, 5)$   | 5: $(a, 9)$  |
| 6: $(c, 6)$   | 6: $(a, 10)$ |
| 7: $(a, 7)$   | 7: $(b, 11)$ |
| 8: $(a, 8)$   | 8: $(b, 12)$ |
| 9: $(a, 9)$   | 9: $(c, 6)$  |
| 10: $(a, 10)$ | 10: $(d, 3)$ |
| 11: $(b, 11)$ | 11: $(r, 2)$ |
| 12: $(b, 12)$ | 12: $(r, 5)$ |

## Example.

$B = \text{ard}\$r\text{caaaabb}$

# Inverting BWT

---

**Observation.** Compression means nothing unless we can invert the procedure!

## A Magic Trick.

1. Create an array  $D$  of pairs  $(B[r], r)$
2. Sort  $D$  *stably* with respect to first entry
3. Interpret  $D$  as linked list (follow links!)

|               |              |
|---------------|--------------|
| 1: $(a, 1)$   | 1: $(\$, 4)$ |
| 2: $(r, 2)$   | 2: $(a, 1)$  |
| 3: $(d, 3)$   | 3: $(a, 7)$  |
| 4: $(\$, 4)$  | 4: $(a, 8)$  |
| 5: $(r, 5)$   | 5: $(a, 9)$  |
| 6: $(c, 6)$   | 6: $(a, 10)$ |
| 7: $(a, 7)$   | 7: $(b, 11)$ |
| 8: $(a, 8)$   | 8: $(b, 12)$ |
| 9: $(a, 9)$   | 9: $(c, 6)$  |
| 10: $(a, 10)$ | 10: $(d, 3)$ |
| 11: $(b, 11)$ | 11: $(r, 2)$ |
| 12: $(b, 12)$ | 12: $(r, 5)$ |

## Example.

$B = \text{ard}\$r\text{caaaabb}$

**For Next Time.** Convince yourself this inverts the BWT!

# BWT Discussion

---

What do we know about the Burrows-Wheeler Transform?

- Running time  $\Theta(n)$ 
  - encoding uses **suffix sorting** (future reference)
  - decoding can be done in  $\Theta(n)$  time with counting sort
  - decoding is simpler/faster!
- Typically slower than other methods
- Needs access to entire text (or apply to smaller blocks)
- WBT  $\rightarrow$  MTF  $\rightarrow$  RLE  $\rightarrow$  Huffman has great compression!

# Summary of Compression

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- Huffman** Variable-width, single-character (optimal in this case)
- RLE** Variable-width, multiple-character encoding
- LZW** Adaptive, fixed-width, multiple-character encoding  
Augments dictionary with repeated substrings
- MTF** Adaptive, transforms to smaller integers  
should be followed by variable-width integer encoding
- BWT** Block compression method, should be followed by MTF

# Next Time

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Error Correcting Codes

# Scratch Notes

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