

# Lecture 26: Finishing Locks

COSC 273: Parallel and Distributed  
Computing

Spring 2023

# This Week

- Homework 03 Due Friday
- Final Project group & topic selection, also due Friday
  - Option 1: computing prime numbers -
  - Option 2: sorting -
  - Option 3: choose your own adventure -
- Week Plan
  - Today: finish locks
  - Wednesday: computing prime numbers
  - Friday: sorting

# Last Time: TASLock

## Test-and-set Lock

```
import java.util.concurrent.atomic AtomicBoolean;
public class TASLock implements SimpleLock {
    AtomicBoolean locked = new AtomicBoolean(false);
    public void lock () {
        while (locked.getAndSet(true)) {}
    }
    public void unlock () {
        locked.set(false);
    }
}
```

equiv. to  
• boolean val = locked  
• locked = true  
• return val

- download [tas-locks.zip](#)

# Progress Guarantees

Question. Is TASLock deadlock-free? Starvation-free?

→ Deadlock free:

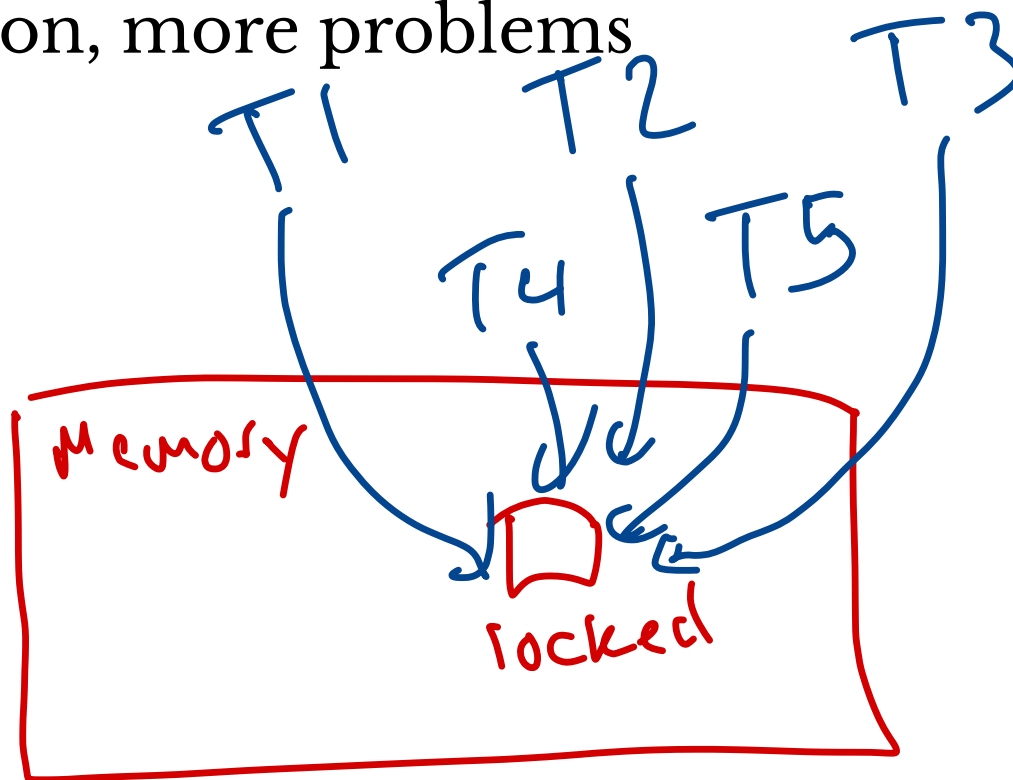
- if all threads take steps,  
someone gets lock in finite  
# of steps

Yes! first thread to call  
locked.getAndSet(true)  
obtains lock, next after  
unlock gets lock next time...

∴ Starvation free? Each locker eventually  
gets lock  
about who obtains lock after release.  
No! No guarantee

# What About Performance?

- atomic operations are expensive
  - writing operations more-so
- more contention, more problems



Question - How does running time per lock/unlock depend on # threads?

# Atomic Lock with Fewer Writes

Test-and-Test-and-Set Lock:

- check if locked
  - if not, attempt getAndSet
  - return if successful

# TTASLock Implementation

```
public class TTASLock implements SimpleLock {
    AtomicBoolean locked = new AtomicBoolean(false);
    public void lock () {
        while (true) {
            → while (locked.get()) {};
            if (!locked.getAndSet(true)) { return;}
        }
    }
    public void unlock() { locked.set(false);}
}
```

← only read

# Comparing Efficiency

- `tas-locks.zip`



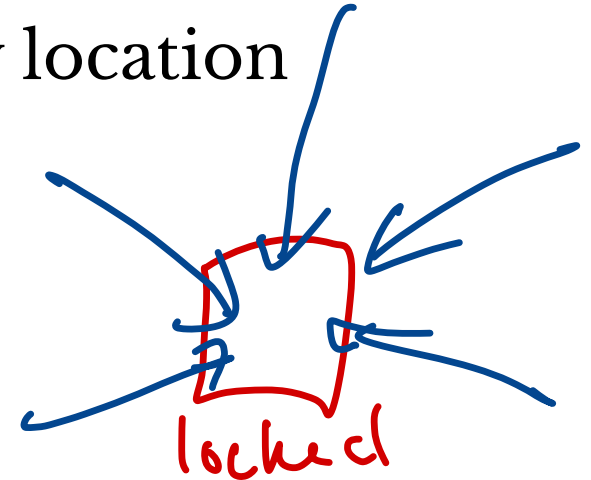
# Two Issues

1. Locks are less efficient with more threads
  - more contention to single memory location
2. Locks are not starvation free
  - no notion of priority

Fix # threads

→ some notion of priority

⇒ more space requested



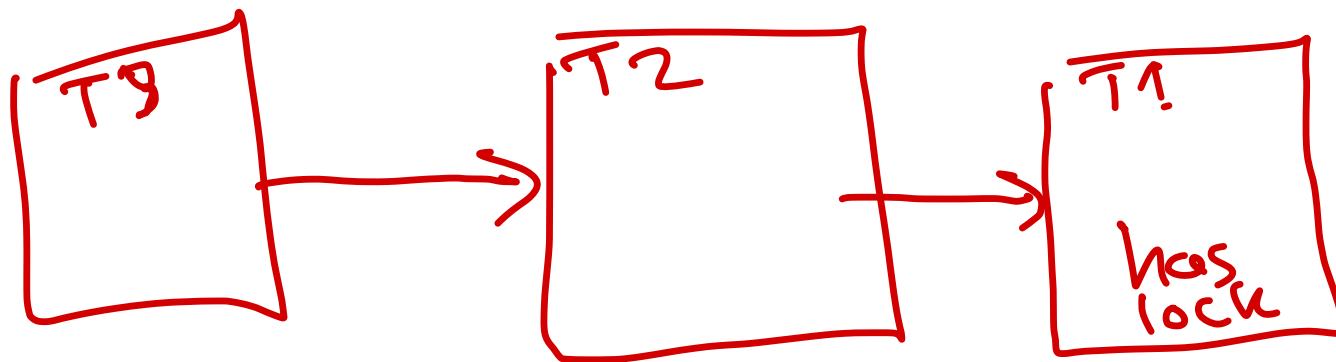
# A Tradeoff

More memory, less contention

- each thread has its own field to lock/unlock

Incorporating priority

- each thread has predecessor it waits on
- like queue/Bakery algorithm



# CLH Lock

Each thread has:

- Node `myNode` node “owned” by thread
- Node `myPred` node owned by predecessor thread

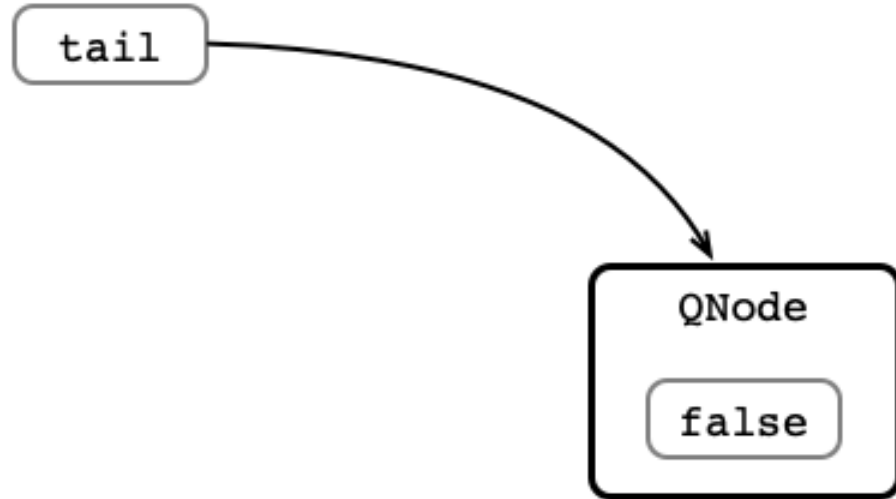
Each Node has:

*thread I am waiting on*

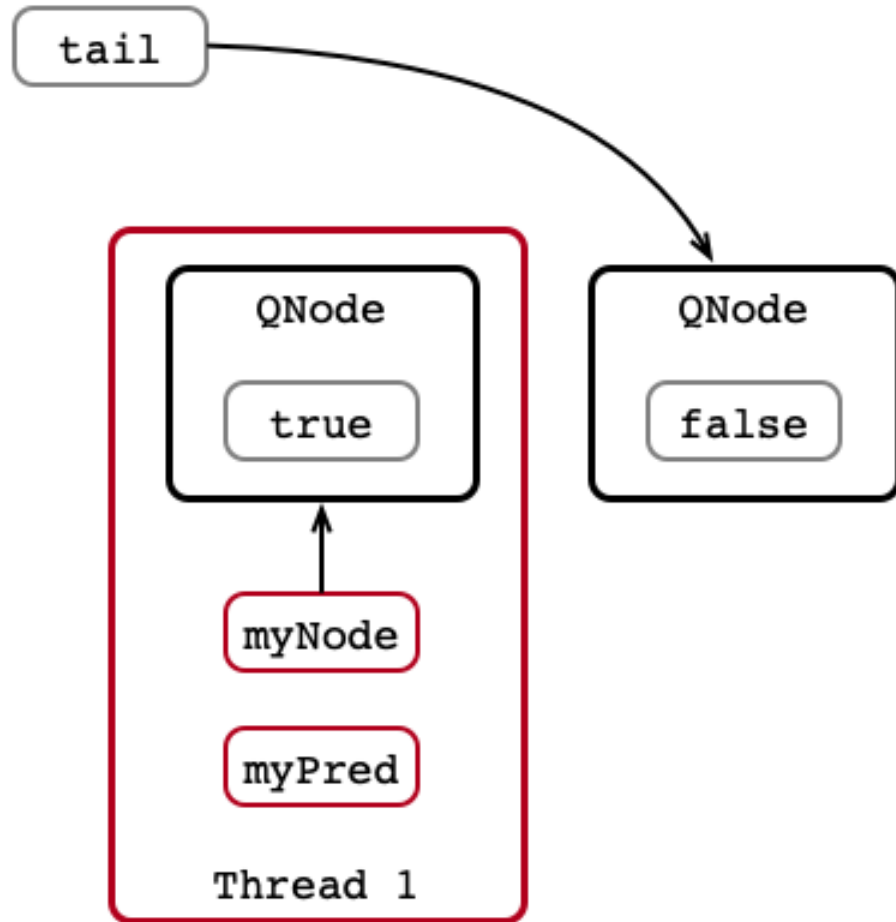
- boolean `locked`:
  - `myNode.locked = true` signals I want/have lock
  - `myNode.locked = false` signals I have released lock

Thread acquires lock when `myPred.locked` is false

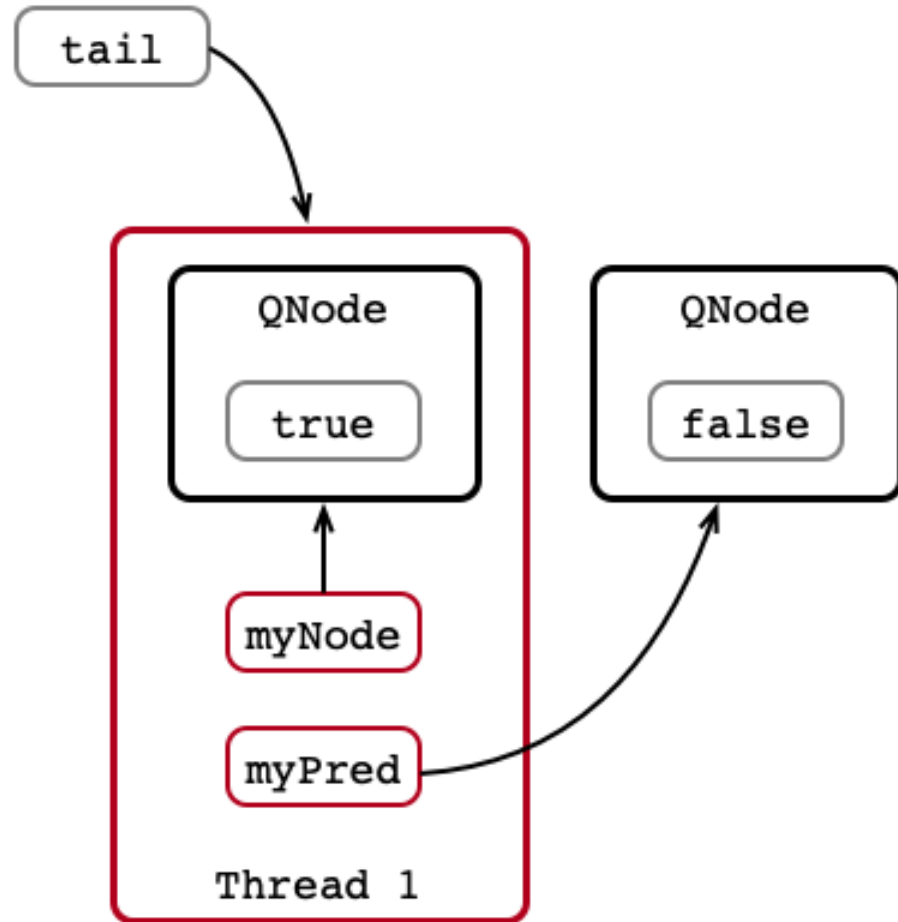
# CLH Lock Initial State



# Thread 1 Arrives

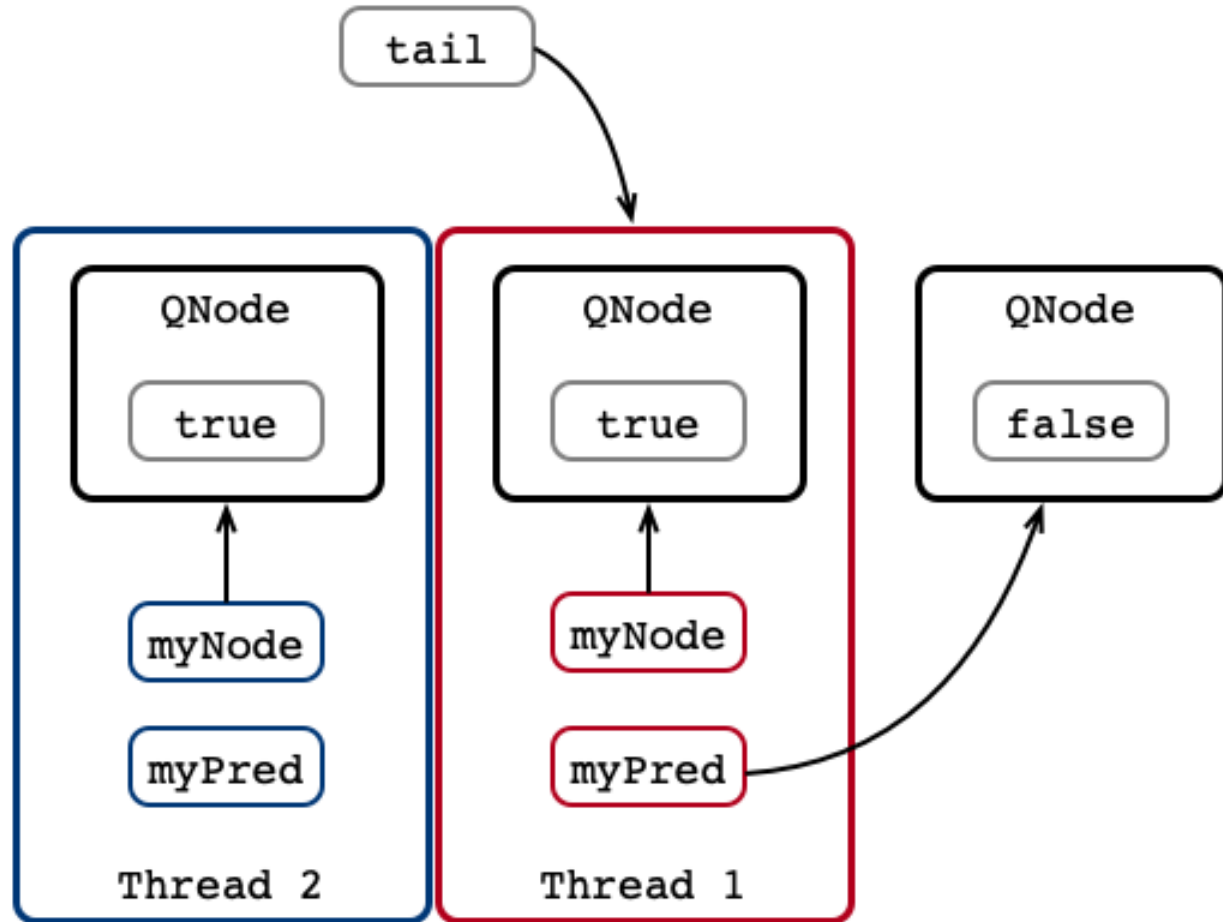


# Thread 1 Acquires Lock

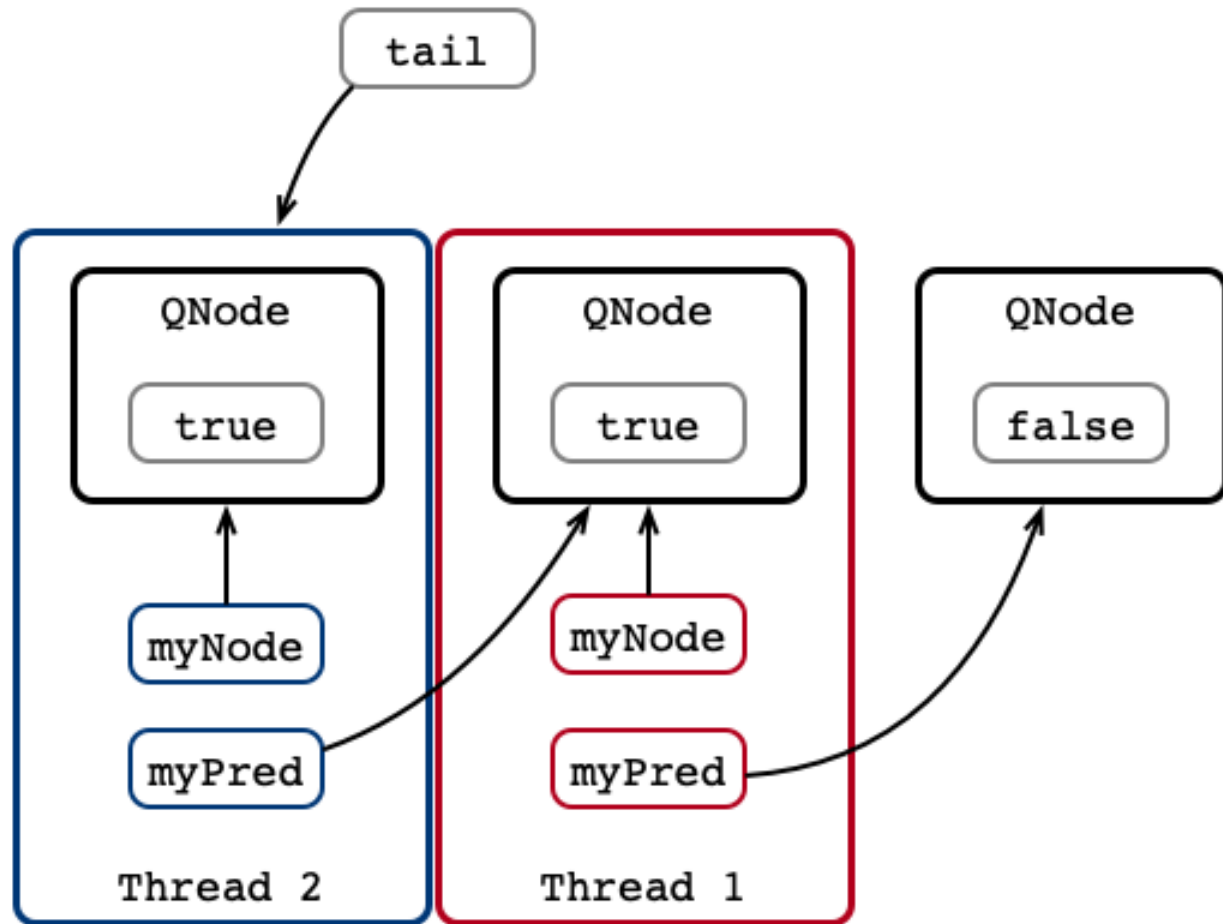


T1 has lock  
b/c myPred.locked == false

# Thread 2 Arrives

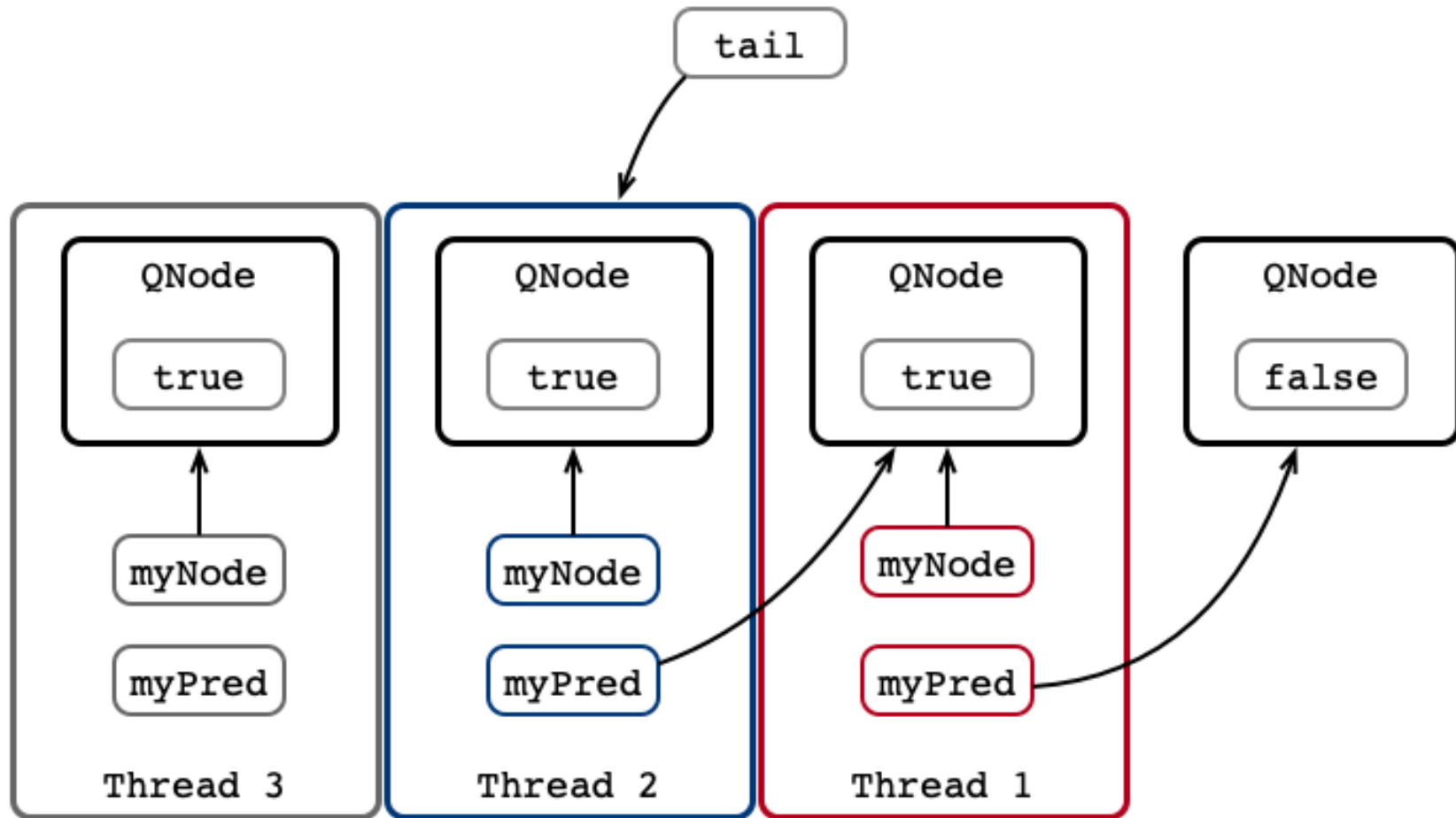


# Thread 2 Locks

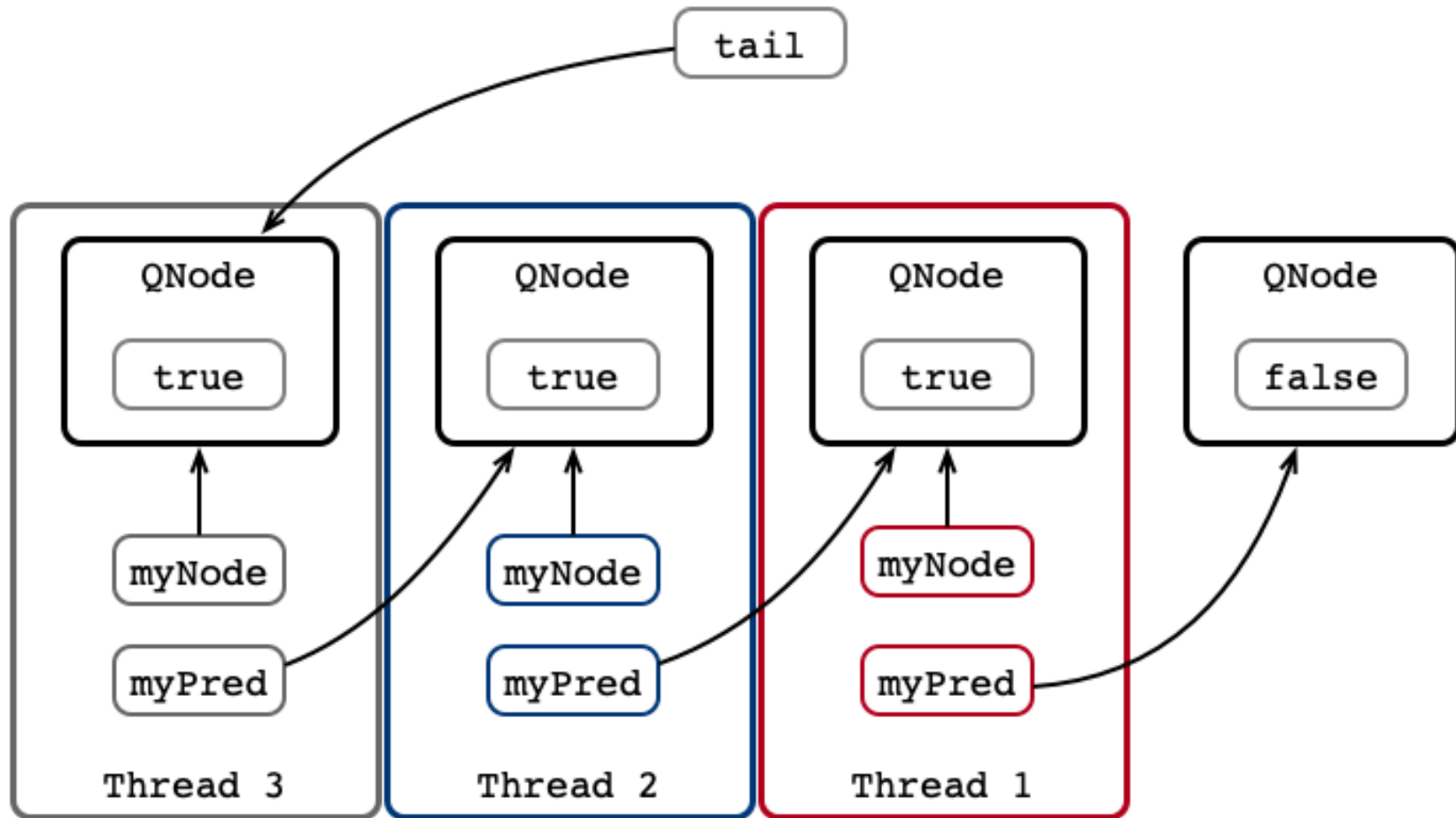




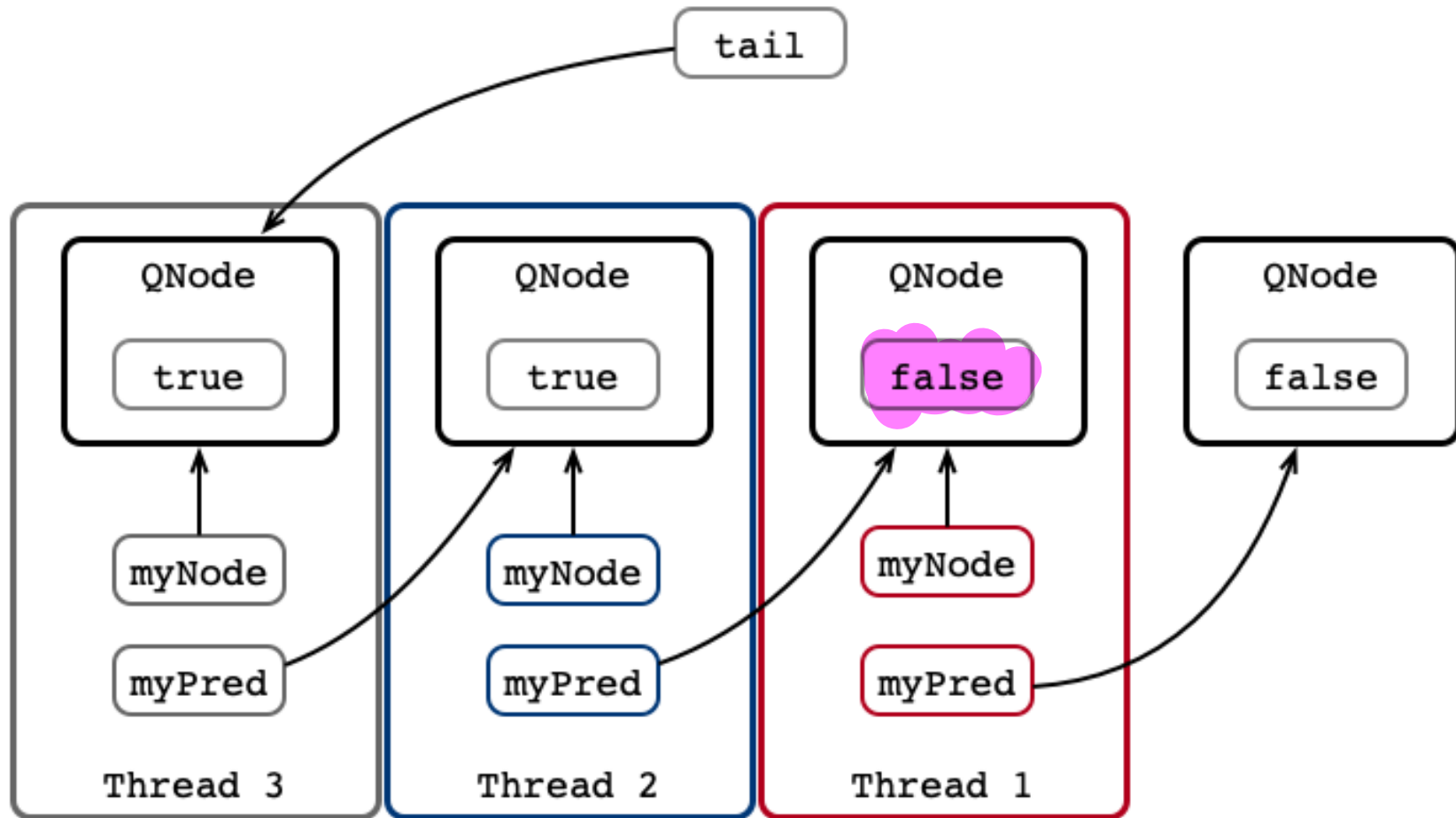
# Thread 3 Arrives



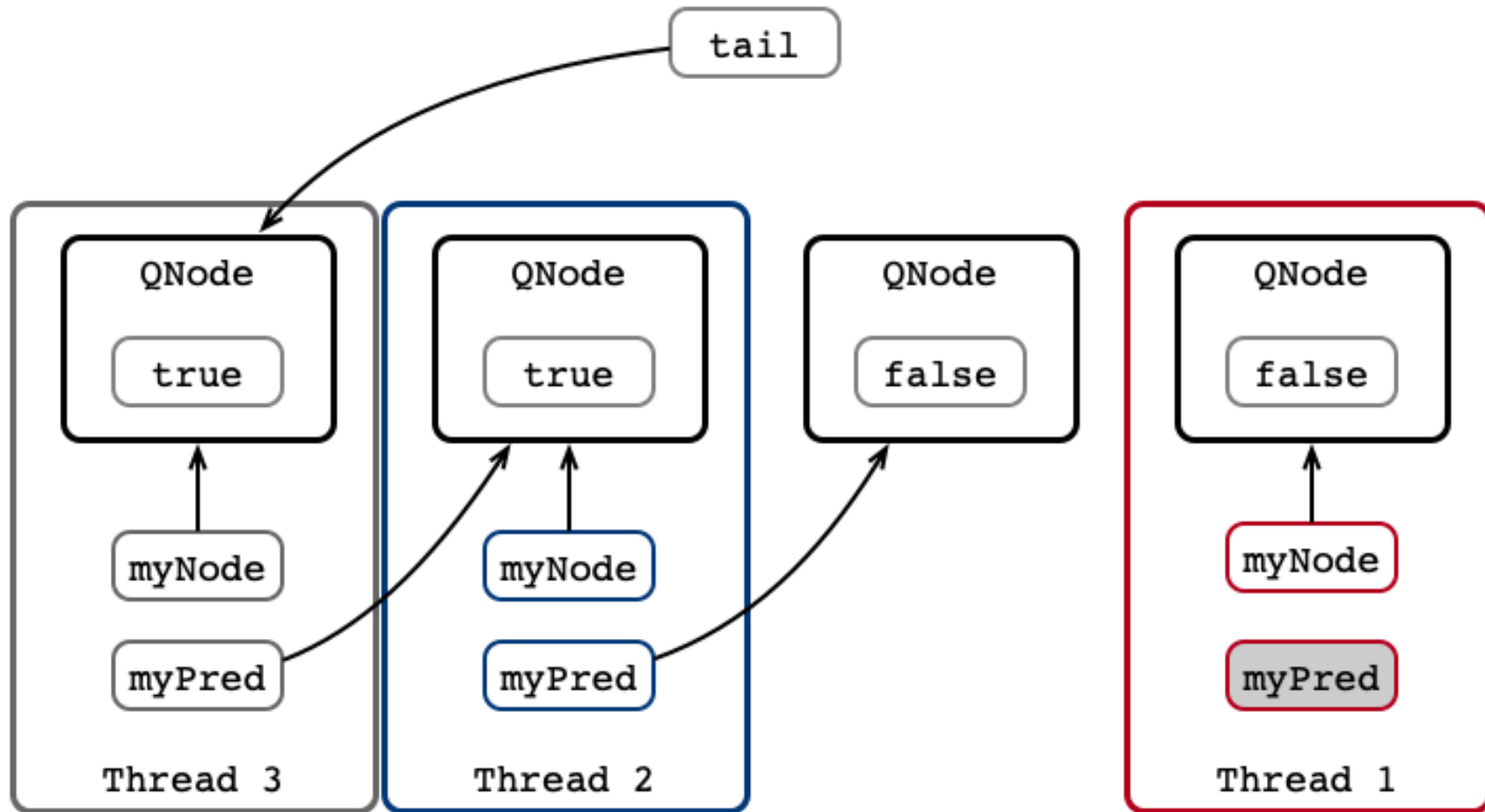
# Thread 3 Locks



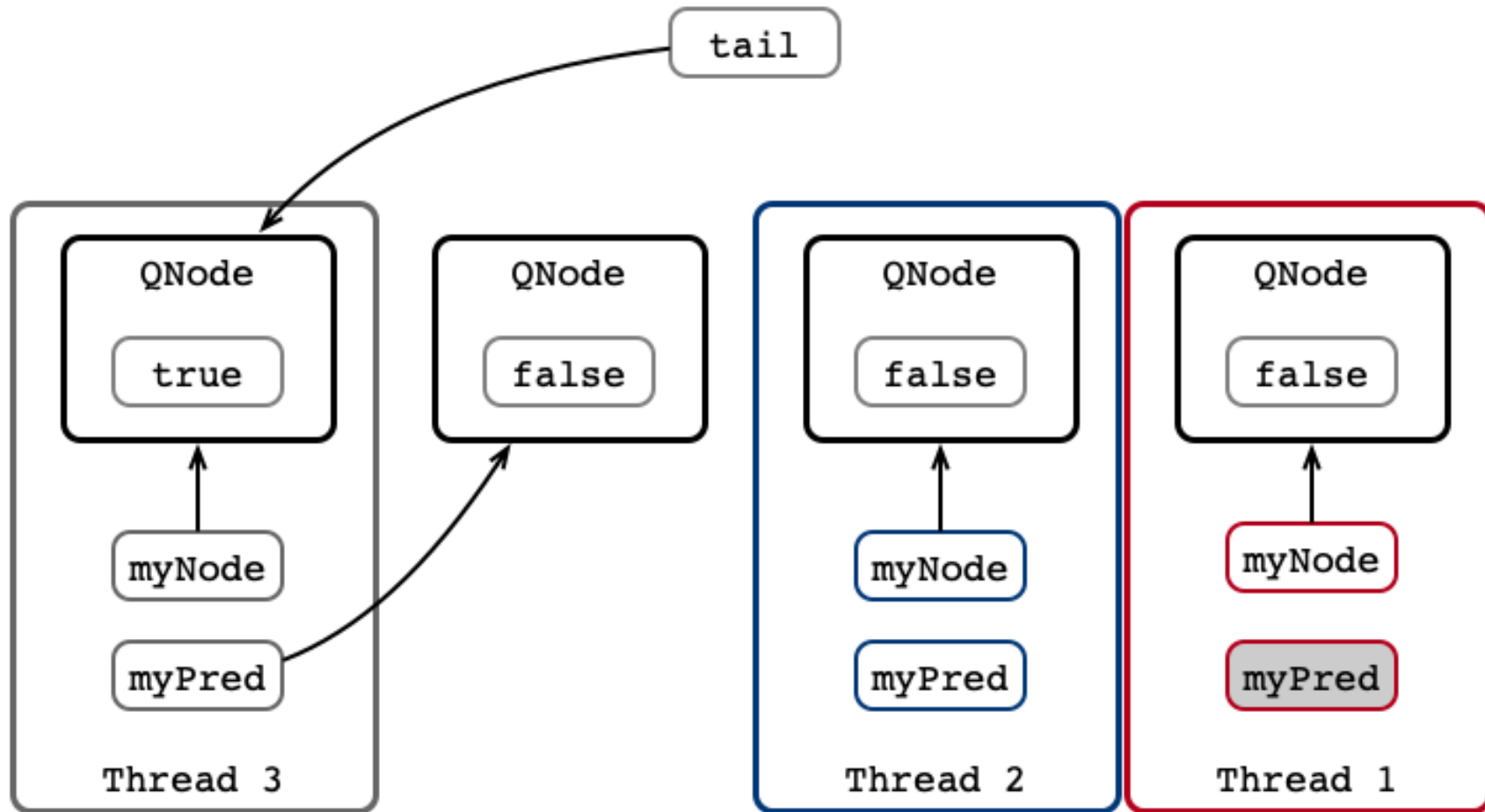
# Thread 1 Unlocks (1)



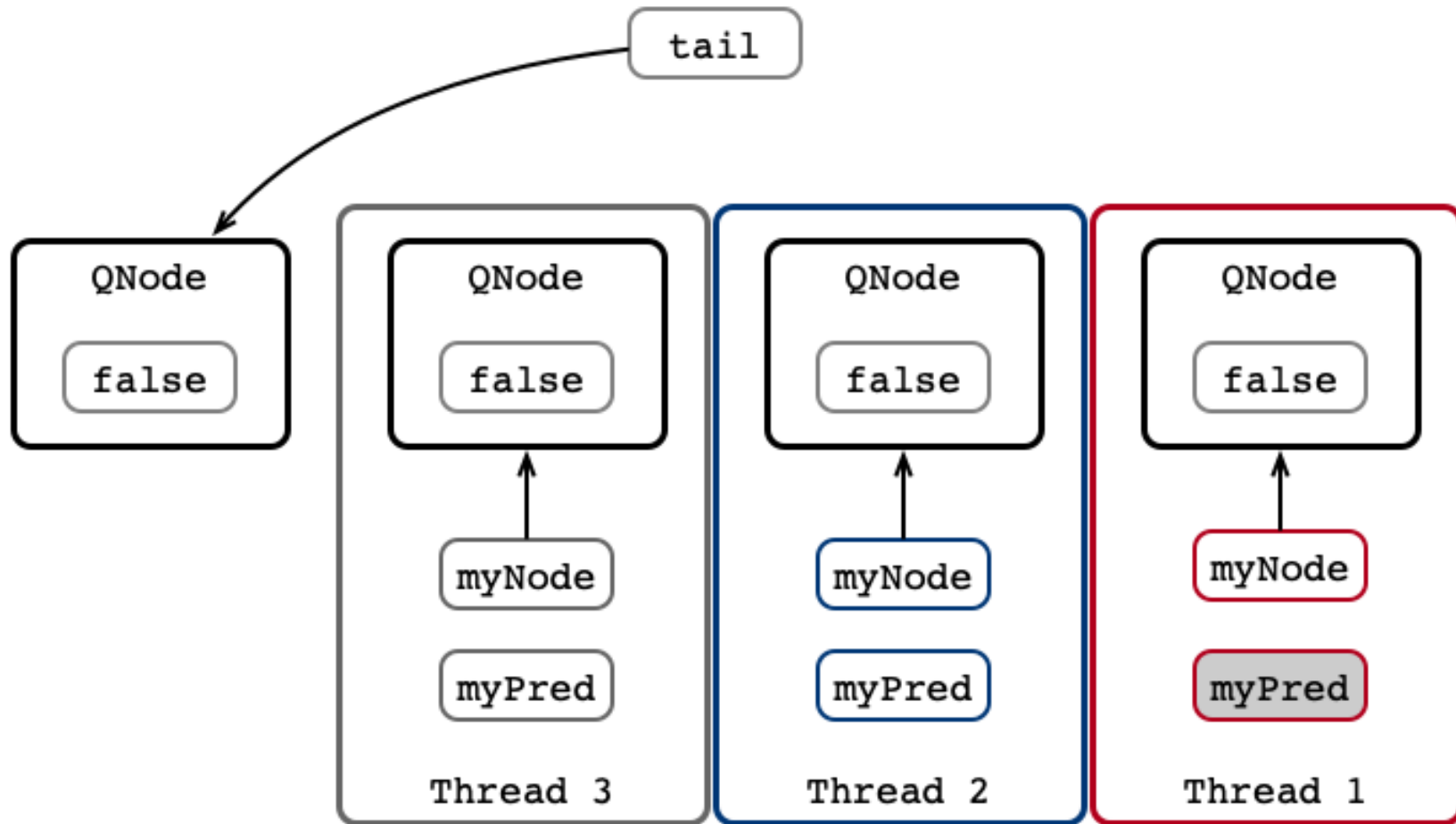
# Thread 1 Unlocks (2)



# Thread 2 Unlocks



# Thread 3 Unlocks



# CLHLock Implementation

```
import java.util.concurrent.atomic.AtomicReference;
```

```
public class CLHLock implements SimpleLock {
```

```
    [AtomicReference<QNode> tail;]
```

```
    ThreadLocal<QNode> myPred;
```

```
    [ThreadLocal<QNode> myNode]
```



```
private static class QNode {
```

```
    [volatile boolean locked = false;]
```

```
}
```

```
}
```

each thread  
has own  
version

# CLHLock Constructor

```
public CLHLock () {  
    tail = new AtomicReference<QNode>(new QNode());  
    myNode = new ThreadLocal<QNode> () {  
        @Override  
        protected QNode initialValue() {  
            return new QNode();  
        }  
    };  
    myPred = new ThreadLocal<QNode>() {  
        @Override  
        protected QNode initialValue() {  
            return null;  
        }  
    };  
}
```

create  
node  
for  
thead

init:  
pred is  
null



# CLHLock Lock/Unlock

```
public void lock () {  
    ↪ QNode qnode = myNode.get();  
    ↪ qnode.locked = true;  
    ↪ QNode pred = tail.getAndSet(qnode);  
    ↪ myPred.set(pred);  
    ↪ while (pred.locked) {};  
}
```

```
public void unlock () {  
    ↪ QNode qnode = myNode.get();  
    ↪ qnode.locked = false;  
    ↪ myNode.set(myPred.get());  
}
```

# Try It Yourself

- `clh-lock.zip`

# Ugh

**A Mystery.** Why doesn't the implementation work?

- deadlock for many threads?

# Next Time

105,097,565 prime numbers!